



## Upper bounds for the long-time averaged buoyancy flux in plane stratified Couette flow subject to a mixing efficiency constraint

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We derive non-trivial upper bounds for the long-time averaged vertical buoyancy flux  $\mathcal{B}^* := \langle \rho u_3 \rangle g / \rho_0$  (where  $u_3$  is the vertical velocity,  $g$  is the acceleration due to gravity, and angled brackets denote volume and time averaging) for stably stratified Couette flow: i.e. the flow of a Boussinesq fluid (with reference density  $\rho_0$ , kinematic viscosity  $\nu$ , and thermal diffusivity  $\kappa$ ) confined between two parallel horizontal plates separated by a distance  $d$ , which are driven at a constant relative velocity  $\Delta U$ , and are maintained at a constant (statically stable) temperature difference leading to a constant density difference  $\Delta\rho$ . We construct the bound by means of a numerical solution to the “background method” variation problem as formulated by Constantin and Doering using a one dimensional, uni-directional background. We require that the mean flow is streamwise independent and statistically steady. Furthermore, we impose the plausible coupling constraint that a fixed fraction of the energy input into the system by the driving plates (above that required to maintain a purely laminar flow) leads to enhanced irreversible mixing within the flow, i.e. we require a coupling such that that  $\mathcal{B}^* = \Gamma_c (\mathcal{E}^* - \mathcal{E}^*)$ , where  $\mathcal{E}^*$  is the total mechanical energy dissipation rate, and  $\mathcal{E}^*$  is the total mechanical energy dissipation rate associated with a purely parallel, laminar flow. We calculate this bound up to asymptotically large Reynolds numbers for a range of choices of coupling parameters  $\Gamma_c$  and bulk Richardson numbers  $J = g\Delta\rho d / (\rho_0 \Delta U^2)$  of the flow. For all values of  $Re$ , we find that the calculated upper bound increases with  $J$ . However, there is always a maximum possible value of  $J_{\max}(Re, \Gamma_c)$ , at which it becomes impossible to impose the new constraint, and the density field and velocity field become decoupled.  $J_{\max}$  increases with  $Re$ , but is a non-monotonic function of  $\Gamma_c$ , with for a given  $Re$ , a maximum apparently at

$\Gamma_c = 1$ . The value of the bound on the buoyancy flux at  $J_{\max}$  is also a non-monotonic function of  $\Gamma_c$ , with  $\Gamma_c = 1/2$  leading to the largest possible values as  $Re \rightarrow \infty$ , consistently with the upper bound presented previously in Caulfield, Tang & Plasting (2004) where this coupling constraint was not imposed. The asymptotic scaling of the new coupled bound is the same as the previously calculated value, with dimensionally  $\mathcal{B}_{\max}^* = \mathcal{O}(U^3/d)$ , independent of the flow viscosity. At any particular value of  $Re$ , the previously calculated bounding solution may be associated with a specific value of  $\Gamma_c$ . Imposing the coupling constraint with that value of  $\Gamma_c$ , as  $J \rightarrow J_{\max}$ , the new bound approaches from below the previously calculated bound exactly.